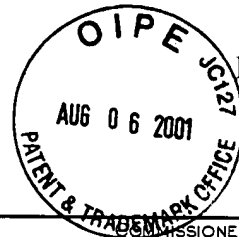




UNITED STATES PATENT AND TRADEMARK OFFICE



Page 1 of 1

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,066	05/24/2001	Clive Hoggart	9229.00

CONFIRMATION NO. 6693

FORMALITIES LETTER



\*OC000000006339857\*

Intellectual Property Section  
Law Department  
NCR Corporation  
101 West Schantz, ECD-2  
Dayton, OH 45479-0001

Date Mailed: 07/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/08/2001 AZERGAW1 00000078 140225 09865066

01 FC:105 130.00 CH



2123

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 9229.00

Application of

**Clive Hoggart et al.**

Serial No. 09/865,066

Filed: **May 24, 2001**

**FOR: METHOD AND APPARATUS FOR PREDICTING WHETHER  
A SPECIFIED EVENT WILL OCCUR AFTER A SPECIFIED  
TRIGGER EVENT HAS OCCURRED**

**CLAIM FOR BENEFIT OF  
EARLIER-FILED FOREIGN  
APPLICATION**

Confirmation No.: **6693**

Group Art Unit: **2123**

Examiner: **Unknown**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on ~~AUG 03 2001~~ (Date of Deposit).

  
Shirley Doll

**RECEIVED**

Assistant Commissioner for Patents

Washington, D.C. 20231

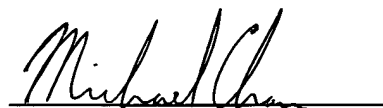
AUG 09 2001

Technology Center 2100

Sir:

Applicants wish to claim the benefit of the filing date of the earlier G.B. Application Serial No. 0013010.4, filed on **May 26, 2000**, recited in the Declaration under the provision of 35 U.S.C. 119, and accordingly, Applicants submit herewith a certified copy of said application.

Respectfully submitted,



Michael Chan  
Reg. No. 33,663  
Attorney for Applicant(s)

NCR Corporation, Law Department, WHQ5E  
1700 S. Patterson Blvd., Dayton, OH 45479-0001  
Tel. No. 937-445-4956/Fax No. 937-445-3733



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

4 sector

Docket No. 9229.00

RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION  
FILING DATE GRANTED

Application of

Clive Hoggart et al.

Confirmation No.: 6693

Serial No. 09/865,066

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Filed: May 24, 2001

Examiner: Unknown

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AUG 03 2001 (Date of Deposit).

*Shirley Doll*

Shirley Doll

Assistant Commissioner for Patents

Washington, D.C. 20231

Box Missing Part

Sir:

In response to the above-named Notice dated **July 25, 2001**, relative to the above-named application, Applicant hereby submits signed formal application papers and an assignment for recording to complete the file and authorizes the following fees from NCR Deposit Account No. **14-0225**:

1. Surcharge for Incomplete Application	\$130.00
2. Assignment and Recording Fee	<u>40.00</u>
<b>Total Charge</b>	<b>\$170.00</b>

Please charge any deficiency or credit any overpayment of fees to NCR Deposit Account No. 14-0225.

A copy of said Notice is included herewith.

Examination on the merits is respectfully requested.

Respectfully submitted,

*Michael Chan*

Michael Chan

Reg. No. 33,663

Attorney for Applicant(s)

NCR Corporation, Law Department, ECD-2  
101 West Schantz Avenue, Dayton, OH 45479-0001  
Tel. No. 937-445-4956/Fax No. 937-445-3733